

Securities Internet & Mobile Trading 證券網上及手機交易申請表

Client Name

A/C No.:

客戶姓名

帳戶號碼

1.	<input type="checkbox"/> New Application 新申請(Including Derivative Product 包括衍生產品) <i>Please send the password to the registered mail address. 請以電郵方式將密碼送至已登記之電郵地址</i>		
2.	Value-Added Services 增值服務 <input type="checkbox"/> Application for Trading in US listed Securities Platform 申請美股交易平台 *協請附上 W-8BEN 詳細請瀏覽 https://www.irs.gov/pub/irs-pdf/fw8ben.pdf		
3.	Cancellation of Internet Trading Platform 取消網上交易		
	Reason 原因 :	<input type="checkbox"/> No PC knowledge 不懂電腦操作	<input type="checkbox"/> Security Reason 保安理由
	<input type="checkbox"/> Others(Please specify) 其他(請列明) _____		
4.	Trading derivative products via the Internet and mobile phones 透過網上及手機交易衍生產品		
	<input type="checkbox"/> Application 申請	<input type="checkbox"/> 取消 Cancellation	
	I wish to purchase the following derivative product(s) which is traded on an exchange 本人有意認購以下交易所買賣的衍生產品： <input type="checkbox"/> Warrants 衍生權證 (窩輪) <input type="checkbox"/> Callable Bull Bear Contracts 牛熊證 <input type="checkbox"/> Stock Option 股票期權 My knowledge of derivative products are as follows: 本人對衍生產品的認識如下： <input type="checkbox"/> The Client underwent training or attended courses on derivative products. 本人已接受有關介紹一般衍生產品之性質及風險的培訓或課程 <input type="checkbox"/> The Client has current or previous work experience related to derivative products 本人過去三年曾執行五次或以上衍生產品之交易 <input type="checkbox"/> The Client has executed five or more transactions within the past three years in derivative products 本人擁有與衍生產品有關之工作經驗 <input type="checkbox"/> The Client has NOT acquired knowledge of derivative products 本人並未有衍生產品之認識 ^When you do not have any knowledge of derivative products, you could accept Conrad's explanation on risks associated with derivative products and complete the questionnaire if you wish to purchase derivative products which are traded on an exchange.^如閣下並未有衍生產品之認識，但有意認購交易所買賣的衍生產品，亦可接受 Conrad 就相關衍生產品所作出之風險解釋及完成問卷調查。		
Confirmation by client 客戶確認： I/We wish to trade exchange-traded derivative products and accept to bear the relevant potential risks associated with the derivative products. 本人/吾等有意買賣在交易所買賣之衍生產品，並同意承擔衍生產品所附帶的相關潛在風險。			

Notice by phone 經電話通知：

Handled By 處理人：

Ext.內線：

Date & Time 日期及時間：

Client/AE'S signature 客戶/經紀簽署

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SV and Confirmed By CS	Approved By RO	Inputted By	Checked By